

USC Office of Ethics and Compliance

USC COMPLIANCE AND ETHICS COMMITTEE CHARTER

Purpose

The Compliance and Ethics Committee (“Committee”) serves to foster a culture of compliance, integrity, accountability, and ethical conduct across the university. Its purpose is to support the university’s Office of Ethics and Compliance in the development, implementation, and continuous improvement of the university’s Compliance and Ethics Program as outlined in the program’s Framework.

Chair: Michael Blanton, Chief Compliance Officer, Vice President, Ethics and Compliance

- The Committee Chair may delegate coordination and facilitation of meetings and other Committee operations to Office of Ethics and Compliance (“OEC”) leaders.

Role & Responsibilities

- Review and approve the university’s Compliance Program’s Framework & Standards
- Identify emerging compliance risks and recommend mitigation strategies
- Promote awareness, training, and education on compliance and ethics topics
- Escalate to leadership compliance priorities and resource needs
- Engage with stakeholders to communicate updated compliance obligations and processes

Membership

Membership of the committee will include:

- Compliance Leads
 - Compliance Leads are responsible for their program’s compliance, legal, and regulatory requirements. Compliance Leads are designated by OEC and/or department leadership.

Designated representative(s) from the following departments*:

- Office of Ethics and Compliance
- Office of the General Counsel
- Risk Management
- Audit Services
- Provost Office
- University Human Resources

Meetings

- The Committee shall meet quarterly, with additional meetings scheduled as needed
- Agendas and materials shall be distributed in advance

Updated November 20, 2025

This Charter shall be reviewed biennially and updated as necessary to reflect changes in laws, regulations, or institutional priorities.

*This is not a comprehensive list, and members may be added by the Office of Ethics and Compliance to reflect program needs.